

Practitioner's Docket No. VR2-002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#301 Ref for
Refund

In re application of: Dirk Van Dijk et al.

Application No.: 09/171,910
Filed: May 13, 1999Group No.: 1772
Examiner: Alicia Chevalier

08-21-02

Commissioner for Patents and Trademarks
Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
(OVERPAYMENT OF EXTENSION FEE)

I. REFUND REQUEST

This is a request for a refund, with respect to the incorrect payment of a 3-month extension fee which was paid on July 11, 2001. Small entity status was applicable at the time of payment as enumerated below.

II. FEES CHARGED FOR WHICH REFUND REQUESTED

	AMOUNT OF REFUND REQUESTED
Extension for Time under 37 CFR §1.17(a)(3)	
third month (prior to 10/1/01 fee increase)	\$455.00
TOTAL REFUND REQUESTED	\$455.00

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

Date: July 12, 2002

FACSIMILE

- ☒ transmitted by facsimile to the Patent and Trademark Office to 703-308-5077

Signature

Susan Wenzl
(type or print name of person certifying)

III. EXPLANATION OF WHY PAYMENT WAS IN ERROR, AND REFUND IS PROPER

1. Small Entity Status Statement was filed on 2/14/2001;
2. A Response to the Office Action was filed on 7/11/2001. The fee due for a 3-month extension of time should have \$435 (Small Entity), rather than the \$890 actually paid. Additionally, the 3-month extension fee was miscalculated, and was paid as \$890.

Therefore the 3-month extension fee payment for a small entity should have only been for \$435. The refund would therefore be for \$435, plus the \$20 overpayment, which totals \$455.

A credit to Deposit Account in the amount of \$455 should be made.

Attached as evidence of the overpayment are the following documents:

1. Copy of the Small Entity Status Statement, as filed, including PTO Transmittal Form (PTO/SB/21); and copy of PTO Return Receipt Postcard with date of receipt by the PTO as 2/21/01;
2. Copies of PTO Fee Transmittal Form (PTO/SB/17) showing extension fee paid as \$890, Petition for Extension of Time showing extension fee paid as \$890, PTO Return Receipt Postcard showing receipt of payment as July 18, 2001
3. Copy of Check No. 131464, dated 7/11/01, in the amount of \$890, which has paid by Washington Trust Bank is enclosed.

IV. MANNER OF REFUND

Please make refund by crediting Account No. 23-0925.

Date:

July 12, 2002


Signature of Practitioner

Deepak Malhotra, Reg. No. 33,560
Wells St. John P.S.
W. 601 First Avenue, Suite 1300
Spokane, WA 99201-3828
Telephone No. 509-624-4276
Facsimile No. 509-838-3424

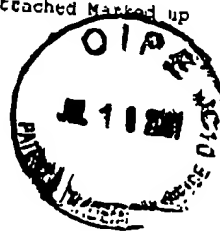
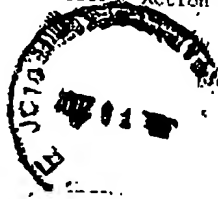
Customer No.: 021567

VR2-002 (DM)
Vriesendorp Gaard

7-11-01

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the following papers relating to the Patent application of Dirk Van Dijk, et al., Serial No. 09/171,910, filed May 13, 1999, entitled "Plastic-Based Composite Product and Method and Apparatus for Manufacturing Same".

1. PTO Return Postcard Receipt.
2. A \$890.00 check.
3. Transmittal Form (PTO/SB/21) & Fee Transmittal (PTO/SB/17)
4. Petition for Extension of Time Under 37 CFR 1.136(a).
5. Response to January 19, 2001 Office Action w/attached Marked up Version of Amendments.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB-09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 890.00

Complete If Known

Application Number 09/171,910
Filing Date May 13, 1999
First Named Inventor Dirk Van Dijk
Examiner Name A. Chevalier
Group / Art Unit 1772
Attorney Docket No. VR2-002

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 23-0925

Deposit Account Name Wells, St. John, et al.

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20--	X	0
Multiple Dependent Claims	-3--	X	0

*For number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	-- Reissue independent claims over original patent	
110 18	210 9	-- Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
106 130	206 65	Surcharge - late filing fee or oath	0.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 360	216 180	Extension for reply within second month	0.00
117 670	217 335	Extension for reply within third month	890.00
118 1,360	218 680	Extension for reply within fourth month	0.00
126 1,650	226 825	Extension for reply within fifth month	0.00
119 300	219 150	Notice of Appeal	0.00
120 300	220 150	Filing a brief in support of an appeal	0.00
121 260	221 130	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,210	241 605	Petition to revive - unintentional	0.00
142 1,210	242 605	Utility issue fee (or reissue)	0.00
143 430	243 215	Design issue fee	0.00
144 580	244 290	Plant issue fee	0.00
122 130	222 130	Petitions to the Commissioner	0.00
123 50	223 50	Petitions related to provisional applications	0.00
126 240	226 240	Submission of Information Disclosure Sheet	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)			0.00
Other fee (specify)			0.00

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 890.00

SUBMITTED BY

Name (Print/Type)	Deepak Malhotra	Registration No. (Attorney/Agent)	33,560	Complete (if applicable)	Telephone	509-624-4276
Signature	<i>Deepak Malhotra</i>			Date	Jul 11, 2001	

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/22 (8-00)

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)

VR2-002

In re Application of Dirk Van Dijk, et al.

Application Number

09/171,910

Filed

May 13, 1999

For Plastic-Based Composite Product and Method and Apparatus
for Manufacturing Same

Group Art Unit

1772

Examiner

A. Chevalier

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a
reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows
(check time period desired):

☐ One month (37 CFR 1.17(a)(1))

☐ Two months (37 CFR 1.17(a)(2))

☒ Three months (37 CFR 1.17(a)(3))

☐ Four months (37 CFR 1.17(a)(4))

☐ Five months (37 CFR 1.17(a)(5))

\$

\$

\$ 890.00

\$

\$

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown
above is reduced by one-half, and the resulting fee is: \$

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this
application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required,
or credit any overpayment, to Deposit Account Number 23-0925.
I have enclosed a duplicate copy of this sheet.

I am the ☐ assignee of record of the entire interest.

☐ applicant.

☐ attorney or agent of record.

☒ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) 33,560

WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.

July 11, 2001
Date

Deepak Malhotra
Signature

Deepak Malhotra
Typed or printed name

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

pm
VR2-002
Tech-Wood Int.Lmtd

2/14/01

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the following papers relating to the Patent application of Tech-Wood International Limited, Serial No. 09/171,910, filed May 13, 1999, entitled "Plastic-Based Composite Product and Method and Apparatus for Manufacturing Same".

1. PTO Return Postcard Receipt.
2. Transmittal Form (PTO/SB/21)
3. Verified Statement Claiming Small Entity Status/Small Business Concern



Please type a plus sign (+) inside this box → ☒

Approved for use through 09/30/2000. OMB 0851-0031
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/171,910
	Filing Date	May 13, 1999
	First Named Inventor	Dirk Van Dijk
	Group Art Unit	1772
	Examiner Name	A. Chevalier
Total Number of Pages in This Submission	4	Attorney Docket Number VR2-002

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Postcard return receipt
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Deepak Malhotra; Wells, St. John, et. al.	
Signature	<i>Deepak Malhotra</i>	
Date	February 14, 2001	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 02/14/2001		
Typed or printed name	Kathi Callick	
Signature	<i>Kathi Callick</i>	Date February 14, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

Inventor: VAN DIJK, Dirk
Assignee: Tech-Wood International Limited
Serial No.: 09/171,910
Filed: October 27, 1998
For: Plastic-Based Composite Product and Method and Apparatus for Manufacturing Same

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Tech-Wood International Limited
ADDRESS OF CONCERN: P.O. Box 253, Bordage House, Le Bordage, St. Peter Port, Guernsey,
GY1 3QJ - Channel Islands, United Kingdom

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: Plastic-Based Composite Product and Method and Apparatus for Manufacturing Same by inventor: Dirk VAN DIJK, described the application identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME: _____
ADDRESS: _____
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: _____
ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

For Porthos Group Limited
as Corporate Director

FOR AND ON BEHALF OF

TECHWOOD INTERNATIONAL LIMITED

SIGNATURE:



DATE: 10TH JANUARY 2001

For Porthos Group Limited
as Corporate Director

SIGNATORY

AUTHORISED
SIGNATORYC/O BORDAGE HOUSE, LE BORDAGE,
ST. PETER PORT, GUERNSEY GY1 3QJ

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

For Porthos Group Limited
as Corporate Director

FOR AND ON BEHALF OF

TECHWOOD INTERNATIONAL LIMITED

SIGNATURE:


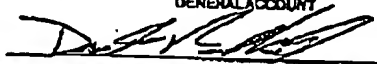
C/O BORDAGE HOUSE, LE BORDAGE,
ST. PETER PORT, GUERNSEY GY1 3QJ

DATE: 10TH JANUARY 2001

For Porthos Group Limited
as Corporate Director

SIGNATORY

AUTHORISED
SIGNATORY

Wells; St. John, Roberts, Gregory & Matkin, P.S. 801 W. FIRST AVENUE, SUITE 1500 SPOKANE, WA U.S.A. 99201-3828 FAX (509) 838-3424 PHONE (509) 824-4278		 WASHINGTON TRUST BANK P.O. Box 2127 Spokane, WA 99210-2127 28-W-1251	131464
DATE 07/11/01 CHECK 131464 AMOUNT *****\$890.00			
PAY *** EIGHT HUNDRED NINETY & 00/100 DOLLARS			
TO THE ORDER OF Commissioner of Patents & Trademarks			
250080979 170 3505 3843 09 23 WELLS, ST. JOHN, ROBERTS, GREGORY & MATKIN, P.S., GENERAL ACCOUNT			
			
⑈ 131464 ⑈ ⑈ 125100089⑈ 1007661374⑈ ⑈ 00000089000⑈			

Tracer: 12025223 - Amt: \$890.00 - 07/26/2001

PATENT FRO. TRADEMARKS OFFICE 3-16-0001 07-21-2001 FOR CREDIT TO THE U.S. TREASURY		2-17 47817
FIVE DOLLARS ⑈ 125100089⑈ ⑈ 1007661374⑈ ⑈ 00000089000⑈		⑈ 125100089⑈ ⑈ 1007661374⑈ ⑈ 00000089000⑈
FRS SEATTLE 1251-0001-1 07/26/01		52059668

Tracer: 12025223 - Amt: \$890.00 - 07/26/2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Serial No. 09/171,910
Filing Date May 13, 1999
Inventor Dirk Van Dijk et al.
Assignee Tech-Wood International Limited
Group Art Unit 1772
Examiner Alicia Chevalier
Attorney Docket No. VR2-002
Title: Plastic-Based Composite Product and Method and Apparatus for Manufacturing
Same

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 308-5077 (Status and Entity Division) on the date shown below:

1. Request for Refund, including copies of the following papers:
 - a. Copies of the Small Entity Status Statement, as filed, including PTO Transmittal Form (PTO/SB/21); and copy of PTO Return Receipt Postcard
 - b. Copies of PTO Fee Transmittal Form (PTO/SB/17), Petition for Extension of Time, and PTO Return Receipt Postcard
 - c. Copy of paid Check No. 131464

Dated: July 12, 2002

By: Susan Wenzl
Susan Wenzl
Telephone No. (509) 624-4276

NUMBER OF PAGES IN FACSIMILE: 11

RECEIVED
JUL 12 2002
FACSIMILE
UNITED STATES PATENT AND TRADEMARK OFFICE